



United Nations

Nations Unies

**OFFICE OF INTERNAL OVERSIGHT SERVICES  
INVESTIGATIONS DIVISION**

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*This Report is protected by paragraph 18 of  
ST/SGB/273 of 7 September 1994*

**INVESTIGATION REPORT ON THE FRAUDULENT USE OF**



**REDACTED REPORT**

**ID Case No. 0020-09**

**23 JUNE 2009**

**STRICTLY CONFIDENTIAL**

This Investigation Report of the Investigations Division of the United Nations Office of Internal Oversight Services is provided upon your request pursuant to paragraph 1(c) of General Assembly resolution A/RES/59/272. The report has been redacted in part pursuant to paragraph 2 of this resolution to protect confidentiality and sensitive information. OIOS's transmission of this Report does not constitute its publication. OIOS does not bear any responsibility for any further dissemination of the Report.

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## I. INTRODUCTION

1. On [REDACTED], the Investigations Division of the Office of Internal Oversight Services (OIOS) received a report of possible misconduct implicating United Nations personnel [REDACTED].
2. Specifically, [REDACTED] reported that some staff members had fraudulently utilized [REDACTED] assigned to other [REDACTED] in order to obtain [REDACTED].
3. OIOS conducted investigations into the matter and found that [REDACTED] had misused the [REDACTED] of [REDACTED].
4. This report details the investigation into [REDACTED] participation and involvement in the reported misconduct.

## II. APPLICABLE LEGAL NORMS

### A. RELEVANT UNITED NATIONS REGULATIONS AND RULES

5. Staff Regulation 1.2 (b)

Staff members shall uphold the highest standard of efficiency, competence and integrity. The concept of integrity includes, but is not limited to, probity, impartiality, fairness, honesty and truthfulness in all matters affecting their work and status;

### B. OTHER ADMINISTRATIVE ISSUANCES

6. Secretary-General's Bulletin ST/SGB/2004/15 (In effect 1 December 2004)

Section 5

5.1 Users of ICT resources and ICT data shall not engage in any of the following actions:

- b) Knowingly, or through gross negligence, making ICT resources ... available to persons who have not been authorized to access them;
- c) Knowingly, or through gross negligence, using ICT resources ... in a manner contrary to the rights and obligations of staff members;

7. [REDACTED] Administrative Instruction No. 08/2006 (In effect 1 August 2006)

(3) Use of the [REDACTED] shall be in accordance with the highest standards of conduct expected of UN staff members and subject to the policy on the use of information and communications technology resources and data (ST/SGB/2004/15).

...

(24) Any form of abuse by staff in utilizing [REDACTED] that may result in financial loss to the organization directly or otherwise will result in the immediate deactivation of this service. Fraudulent use of [REDACTED] will also result in its immediate deactivation followed by administrative action against the staff involved.

**III. IMPLICATED PERSONNEL**

8. [REDACTED] began [REDACTED] service with [REDACTED] in [REDACTED] as a [REDACTED] with [REDACTED], a position [REDACTED] still holds.

**IV. METHODOLOGY**

9. The OIOS investigation included, but was not limited to the analysis of the:
- (i) [REDACTED] of [REDACTED];
  - (ii) [REDACTED] documentation identifying the [REDACTED] to the [REDACTED] from which [REDACTED] originated; and
  - (iii) [REDACTED] corresponding to the [REDACTED] of the disputed [REDACTED]
10. Finally, OIOS interviewed all relevant witnesses and subjects pertaining to this matter.

**V. BACKGROUND**

A. [REDACTED]

11. Due to an increase in [REDACTED] traffic to [REDACTED] experienced [REDACTED] congestion, which impacted upon its operational capability. Therefore, a [REDACTED] was required to [REDACTED] to [REDACTED] that were not [REDACTED]. As the United Nations has limited [REDACTED] resources, the [REDACTED] were only provided to [REDACTED] with [REDACTED]. These [REDACTED] also enabled [REDACTED] to place [REDACTED] at a [REDACTED] than that offered by the [REDACTED]. As a result, only [REDACTED] could be [REDACTED] without a [REDACTED]; all [REDACTED] required the [REDACTED] to input [REDACTED].

B. [REDACTED]

12. According to [REDACTED] "Guidelines on the [REDACTED] and [REDACTED] catered for the demand for [REDACTED] with, amongst other things, the employment of the [REDACTED] in [REDACTED]

13. The [REDACTED] have the capability of [REDACTED] input by [REDACTED] without the need for [REDACTED]. Therefore, [REDACTED]

[REDACTED]

assigned to [REDACTED] were compromised once they utilized the [REDACTED] allocated to other [REDACTED]

**VI. INVESTIGATIVE DETAILS**

**A. [REDACTED] ASSISTED IDENTIFICATION OF SUBJECT**

14. After receiving complaints from [REDACTED] about [REDACTED] to their [REDACTED] that had not been personally incurred, [REDACTED] and OIOS collaborated in the identification of possible subjects. The results revealed that [REDACTED] incurred [REDACTED] for [REDACTED] that originated from [REDACTED] assigned to [REDACTED]

**B. ANALYSIS OF [REDACTED]**

15. All relevant information from the respective [REDACTED] was entered into [REDACTED]. This data was then analyzed by sorting and cross-referencing the disputed [REDACTED] with the originating [REDACTED] which were then matched to [REDACTED] assigned to [REDACTED]. The disputed [REDACTED] produced by the [REDACTED] contained [REDACTED] implicating [REDACTED] in the misuse of their [REDACTED] - those [REDACTED] attributed to [REDACTED] are outlined in [REDACTED].

16. OIOS calculated that the [REDACTED] defrauded totalled [REDACTED] in [REDACTED]. The affected [REDACTED] and a summary of these unauthorized [REDACTED] are depicted in [REDACTED].

**C. WITNESS INTERVIEWS**

**1. Interview with [REDACTED]**

17. On [REDACTED] OIOS interviewed [REDACTED], who stated that [REDACTED] did not have [REDACTED], or a [REDACTED], and [REDACTED] did not know what a [REDACTED] was until [REDACTED] became aware of the misuse of [REDACTED]. [REDACTED] told OIOS [REDACTED], who is a [REDACTED], used to [REDACTED].

**2. Interview with [REDACTED]**

18. On [REDACTED] OIOS interviewed [REDACTED] who stated that [REDACTED] worked in [REDACTED] and [REDACTED] was aware that [REDACTED], together with other [REDACTED] used to make [REDACTED] using [REDACTED] assigned to other [REDACTED].

[REDACTED]

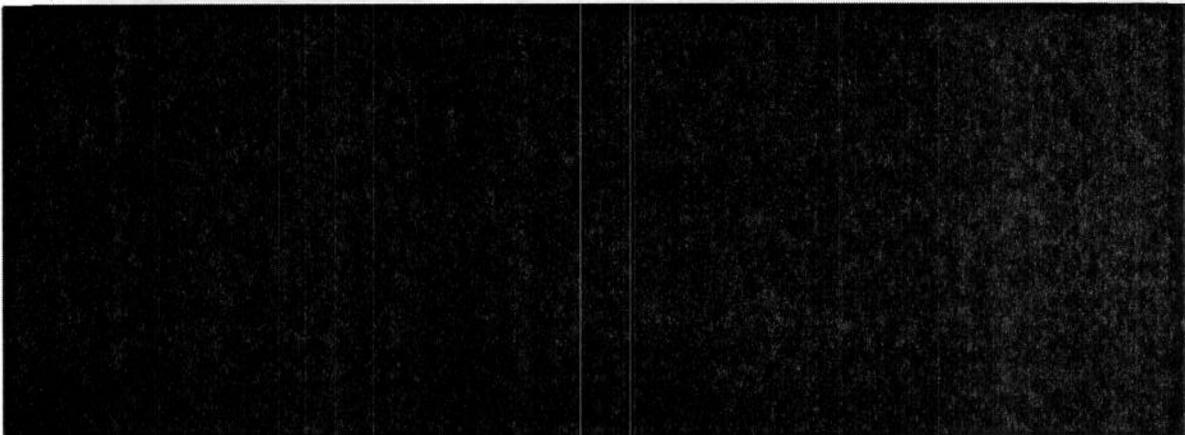
D. INTERVIEW WITH SUBJECT

19. On [REDACTED], OIOS interviewed [REDACTED], who stated that [REDACTED] was not allocated a [REDACTED], or a [REDACTED] to make [REDACTED] informed OIOS that [REDACTED] was responsible for the [REDACTED] for the time [REDACTED] was on [REDACTED], where the [REDACTED] was located.

20. [REDACTED] stated that [REDACTED] was aware that [REDACTED] were allocated to [REDACTED] on an individual basis. [REDACTED] claimed to be aware of problems with 'misusing' [REDACTED] when the facility of [REDACTED] was blocked and some [REDACTED] attended the [REDACTED] requesting to use the [REDACTED] to [REDACTED]. At that time [REDACTED] advised those [REDACTED] that the [REDACTED] could only be used for [REDACTED] however they explained the [REDACTED] which allows [REDACTED]

21. [REDACTED] stated that [REDACTED] had never asked any of [REDACTED] to provide [REDACTED] with a [REDACTED] and that none of [REDACTED] provided [REDACTED] with a [REDACTED]. Further [REDACTED] claimed that [REDACTED] had never used a [REDACTED] and any person making such claims is a [REDACTED]

22. During the interview, [REDACTED] provided OIOS with the [REDACTED] registered in [REDACTED], including the [REDACTED] depicted in [REDACTED]



[REDACTED]



23. OIOS notes that the [REDACTED] depicted in [REDACTED] was stored in [REDACTED] and is one of the [REDACTED] from [REDACTED] during [REDACTED] in the [REDACTED].

## VII. FINDINGS

24. OIOS found that [REDACTED] fraudulently misused the [REDACTED] in order to make [REDACTED] for which [REDACTED] did not pay, totalling approximately [REDACTED] in [REDACTED].

## VIII. CONCLUSIONS

25. Based on the evidence collected and the findings above, OIOS concludes that [REDACTED] failed to adhere to the standard of integrity expected of United Nations personnel and that [REDACTED] contravened staff regulation 1.2 (b) and relevant administrative issuances.

## IX. RECOMMENDATIONS

26. Based on the foregoing, OIOS recommends as follows:

Recommendation 1: It is recommended that [REDACTED] take appropriate action against [REDACTED].

Recommendation 2: It is recommended that [REDACTED] finalize estimated losses and consider seeking [REDACTED] from [REDACTED] for the [REDACTED] defrauded. [REDACTED]

