



United Nations

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**OFFICE OF INTERNAL OVERSIGHT SERVICES
INVESTIGATIONS DIVISION**

*This Report is protected by paragraph 18 of
ST/SGB/273 of 7 September 1994*

INVESTIGATION REPORT ON THE FRAUDULENT USE OF



REDACTED REPORT

ID Case No. 0021-09

23 JUNE 2009

STRICTLY CONFIDENTIAL

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I. INTRODUCTION

1. On [REDACTED], the Investigations Division of the Office of Internal Oversight Services (OIOS) received a report of possible misconduct implicating [REDACTED].
2. Specifically, [REDACTED] reported that some [REDACTED] had fraudulently utilized the [REDACTED] assigned to other [REDACTED] in order to obtain [REDACTED].
3. OIOS conducted investigations into the matter and found that [REDACTED] had misused the [REDACTED] of [REDACTED].
4. This report details the investigation into [REDACTED] participation and involvement in the reported misconduct.

II. APPLICABLE LEGAL NORMS

A. RELEVANT UNITED NATIONS REGULATIONS AND RULES

5. Staff Regulation 1.2 (b)

Staff members shall uphold the highest standard of efficiency, competence and integrity. The concept of integrity includes, but is not limited to, probity, impartiality, fairness, honesty and truthfulness in all matters affecting their work and status;

B. OTHER ADMINISTRATIVE ISSUANCES

6. Secretary-General's Bulletin ST/SGB/2004/15 (In effect 1 December 2004)

Section 5

5.1 Users of ICT resources and ICT data shall not engage in any of the following actions:

- b) Knowingly, or through gross negligence, making ICT resources ... available to persons who have not been authorized to access them;
- c) Knowingly, or through gross negligence, using ICT resources ... in a manner contrary to the rights and obligations of staff members;

7. [REDACTED] Administrative Instruction No. 08/2006 (In effect 1 August 2006)

- (3) Use of [REDACTED] shall be in accordance with the highest standards of conduct expected of UN staff members and subject to the policy on the use of information and communications technology resources and data (ST/SGB/2004/15).

...

(24) Any form of abuse by staff in utilizing [REDACTED] that may result in financial loss to the organization directly or otherwise will result in the immediate deactivation of this service. Fraudulent use of [REDACTED] will also result in its immediate deactivation followed by administrative action against the staff involved.

III. IMPLICATED PERSONNEL

8. [REDACTED] a [REDACTED], began [REDACTED] service with the Organization in [REDACTED] as [REDACTED], a position [REDACTED] still holds.

IV. METHODOLOGY

9. The OIOS investigation included, but was not limited to the analysis of the:
- (i) [REDACTED] of [REDACTED];
 - (ii) [REDACTED] documentation identifying the [REDACTED] assigned to the [REDACTED] [REDACTED] from which fraudulent [REDACTED] originated; and
 - (iii) [REDACTED] rosters corresponding to the [REDACTED] and [REDACTED] of the disputed [REDACTED]
10. Finally, OIOS interviewed all relevant witnesses and subjects pertaining to this matter.

V. BACKGROUND

[REDACTED]

11. Due to an increase in [REDACTED] traffic to [REDACTED] experienced [REDACTED] congestion, which impacted upon its operational capability. Therefore, [REDACTED] was required to [REDACTED] that were not [REDACTED]. As the United Nations has limited [REDACTED] resources, the [REDACTED] were only provided to [REDACTED]. These [REDACTED] also enabled [REDACTED] to place [REDACTED] at a [REDACTED] than that offered by the [REDACTED]. As a result, only [REDACTED] could be [REDACTED] without a [REDACTED] all [REDACTED] required the [REDACTED] to input [REDACTED].

B. [REDACTED]

12. According to the [REDACTED] "Guidelines on the Use of [REDACTED] and [REDACTED] catered for the demand for [REDACTED] amongst other things, the employment of the [REDACTED]

13. The [REDACTED] have the capability of retrieving [REDACTED] input by [REDACTED] without the need for [REDACTED]. Therefore, [REDACTED]

[REDACTED]

assigned to [REDACTED] were compromised once they utilized the [REDACTED] allocated to [REDACTED]

VI. INVESTIGATIVE DETAILS

A. [REDACTED]-ASSISTED IDENTIFICATION OF SUBJECT

14. After receiving complaints from [REDACTED] about [REDACTED] to their [REDACTED] that had not been personally incurred, [REDACTED] and OIOS collaborated in the identification of possible subjects. The results revealed that [REDACTED] incurred [REDACTED] for [REDACTED] during [REDACTED] that originated from [REDACTED] assigned to [REDACTED]

B. ANALYSIS OF [REDACTED]

15. All relevant information from the respective [REDACTED] was entered into [REDACTED]. This data was then analyzed by sorting and cross-referencing the disputed [REDACTED] with the originating [REDACTED] which were then matched with the [REDACTED] assigned to those [REDACTED]. The disputed [REDACTED] produced by the [REDACTED] contained [REDACTED] implicating [REDACTED] in the misuse of [REDACTED].

16. OIOS calculated that the [REDACTED] defrauded totalled [REDACTED] in [REDACTED]. The affected [REDACTED] and a summary of these [REDACTED] are depicted [REDACTED].

C. WITNESS INTERVIEWS

1. Interview with [REDACTED]

17. On [REDACTED], OIOS interviewed [REDACTED], who stated that [REDACTED] worked at the [REDACTED] from [REDACTED] and [REDACTED] was aware that [REDACTED], together with other [REDACTED], used to make [REDACTED]s using [REDACTED] assigned to [REDACTED].

2. Interview with [REDACTED]

18. On [REDACTED], OIOS interviewed [REDACTED] at [REDACTED] who recalled a [REDACTED] from [REDACTED] to [REDACTED]. [REDACTED] informed OIOS that [REDACTED] was [REDACTED] from one of the [REDACTED] assigned to [REDACTED] because the [REDACTED] appeared on the [REDACTED].

[REDACTED]

D. INTERVIEW WITH SUBJECT

19. On [REDACTED], OIOS interviewed [REDACTED] who stated [REDACTED] had never been allocated [REDACTED] or a [REDACTED] to make [REDACTED] informed OIOS that [REDACTED] commenced with [REDACTED] and worked in the [REDACTED] until [REDACTED]

20. [REDACTED] denied that [REDACTED] utilized the [REDACTED] to make [REDACTED] or [REDACTED] to [REDACTED] [REDACTED] stated that [REDACTED] became aware of the misuse of [REDACTED] in [REDACTED] and on occasion, [REDACTED] observed [REDACTED] using these [REDACTED] to make [REDACTED]

21. When [REDACTED] was asked whether [REDACTED] provided [REDACTED] to [REDACTED], particularly [REDACTED] denied this contention and stated that [REDACTED] was lying. [REDACTED] further stated that [REDACTED] never knew any [REDACTED] and had never utilized the facility and any person claiming that [REDACTED] had called them whilst [REDACTED] at the [REDACTED] was not telling the truth.¹⁰

22. [REDACTED] was unable to explain why any of [REDACTED] would make false accusations against [REDACTED] as [REDACTED] had never had disputes with them in the past. [REDACTED] further claimed that none of [REDACTED] had ever called [REDACTED] on [REDACTED] by using a [REDACTED].¹¹

23. OIOS re-interviewed [REDACTED] on [REDACTED] to clarify apparent inconsistencies in [REDACTED] previous statement. When asked as to why [REDACTED] appeared in the disputed [REDACTED] of [REDACTED] when [REDACTED] had previously denied receiving any [REDACTED] from [REDACTED] [REDACTED] stated that [REDACTED] misunderstood the question and believed it pertained to one particular complainant.¹²

24. [REDACTED] then admitted that [REDACTED] had utilized the [REDACTED] that was provided to [REDACTED] by [REDACTED]. [REDACTED] explained that [REDACTED] appeared in disputed [REDACTED] because [REDACTED] transferred the [REDACTED] to [REDACTED] by using the [REDACTED]. [REDACTED] did this because [REDACTED] was not allowed to use t [REDACTED] in [REDACTED] for more than [REDACTED] and admitted that all the [REDACTED] made to [REDACTED] originated from [REDACTED]

[REDACTED]

VII. FINDINGS

25. OIOS finds that [REDACTED] fraudulently misused the [REDACTED] of [REDACTED] in order to make [REDACTED] for which [REDACTED] did not pay, totalling [REDACTED] in [REDACTED].

26. Although there was some evidence that [REDACTED] had provided [REDACTED] to [REDACTED] for their improper use, [REDACTED] denied this assertion. In the absence of corroborative evidence, OIOS is unable to make a conclusive finding in this regard.

VIII. CONCLUSIONS

27. Based on the evidence collected and the findings above, OIOS concludes that [REDACTED] failed to adhere to the standard of integrity expected of United Nations personnel and [REDACTED] contravened staff regulation 1.2(b) and relevant administrative issuances.

IX. RECOMMENDATIONS

28. Based on the foregoing, OIOS recommends as follows:

Recommendation 1: It is recommended that [REDACTED] appropriate action against [REDACTED]

Recommendation 2: It is recommended that [REDACTED] finalize estimated losses and consider seeking [REDACTED] recovery from [REDACTED] for the [REDACTED] defrauded. [REDACTED]

