



United Nations

Nations Unies

**OFFICE OF INTERNAL OVERSIGHT SERVICES  
INVESTIGATIONS DIVISION**

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*This Report is protected by paragraph 18 of  
ST/SGB/273 of 7 September 1994*

**INVESTIGATION REPORT ON THE FRAUDULENT USE OF**



**REDACTED REPORT**

**ID Case No. 0023-09**

**23 JUNE 2009**

**STRICTLY CONFIDENTIAL**

This Investigation Report of the Investigations Division of the United Nations Office of Internal Oversight Services is provided upon your request pursuant to paragraph 1(c) of General Assembly resolution A/RES/59/272. The report has been redacted in part pursuant to paragraph 2 of this resolution to protect confidentiality and sensitive information. OIOS's transmission of this Report does not constitute its publication. OIOS does not bear any responsibility for any further dissemination of the Report.

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## I. INTRODUCTION

1. On [REDACTED], the Investigations Division of the Office of Internal Oversight Services (OIOS) received a report of possible misconduct implicating [REDACTED].
2. Specifically, [REDACTED] reported that some [REDACTED] had fraudulently utilized the [REDACTED] assigned to other [REDACTED] in order to obtain [REDACTED].
3. OIOS conducted investigations into the matter and found that [REDACTED] had misused the [REDACTED] of [REDACTED].
4. This report details the investigation into [REDACTED] participation and involvement in the reported misconduct.

## II. APPLICABLE LEGAL NORMS

### A. RELEVANT UNITED NATIONS REGULATIONS AND RULES

#### 5. Staff Regulation 1.2 (b)

Staff members shall uphold the highest standard of efficiency, competence and integrity. The concept of integrity includes, but is not limited to, probity, impartiality, fairness, honesty and truthfulness in all matters affecting their work and status;

### B. OTHER ADMINISTRATIVE ISSUANCES

#### 6. Secretary-General's Bulletin ST/SGB/2004/15 (In effect 1 December 2004)

##### Section 5

5.1 Users of ICT resources and ICT data shall not engage in any of the following actions:

- b) Knowingly, or through gross negligence, making ICT resources ... available to persons who have not been authorized to access them;
- c) Knowingly, or through gross negligence, using ICT resources ... in a manner contrary to the rights and obligations of staff members;

#### 7. [REDACTED] Administrative Instruction No. 08/2006 (In effect 1 August 2006)

- (3) Use of [REDACTED] shall be in accordance with the highest standards of conduct expected of UN staff members and subject to the policy on the use of information and communications technology resources and data (ST/SGB/2004/15).

...

(24) Any form of abuse by staff in utilizing [REDACTED] that may result in financial loss to the organization directly or otherwise will result in the immediate deactivation of this service. Fraudulent use of another [REDACTED] will also result in its immediate deactivation followed by administrative action against the staff involved.

III. IMPLICATED PERSONNEL

8. [REDACTED] a [REDACTED] began [REDACTED] service with the Organization in [REDACTED] as [REDACTED] with [REDACTED], a position [REDACTED] still holds.

IV. METHODOLOGY

- 9. The OIOS investigation included, but was not limited to the analysis of the:
  - (i) [REDACTED] of [REDACTED];
  - (ii) [REDACTED] documentation identifying the [REDACTED] assigned to [REDACTED] and/or [REDACTED] from which fraudulent [REDACTED] originated; and
  - (iii) [REDACTED] rosters corresponding to the [REDACTED] and [REDACTED] of the disputed [REDACTED]
- 10. Finally, OIOS interviewed all relevant witnesses and subjects pertaining to this matter.

V. BACKGROUND

[REDACTED]

11. Due to an increase in the [REDACTED] traffic to [REDACTED], [REDACTED] experience [REDACTED] congestion which impacted upon its operational capability. Therefore, a [REDACTED] was required to initiate a [REDACTED] that were not [REDACTED]. As the United Nations has limited [REDACTED] resources, the [REDACTED] were only provided to [REDACTED]. These [REDACTED] also enabled [REDACTED] to [REDACTED] at a [REDACTED] than that offered by the [REDACTED]. As a result only [REDACTED] could be [REDACTED]; all [REDACTED] required the [REDACTED] to input [REDACTED].

B. [REDACTED]

12. According to the [REDACTED] "Guidelines on the Use of [REDACTED] [REDACTED] catered for the demand for [REDACTED] with, amongst other things, the employment of the [REDACTED] in [REDACTED].

13. The [REDACTED] have the capability of retrieving [REDACTED] input by [REDACTED] without the need for [REDACTED]. Therefore, [REDACTED]

[REDACTED]

assigned to [REDACTED] were compromised once they utilized the [REDACTED] allocated to [REDACTED]

**VI. INVESTIGATIVE DETAILS**

**A. [REDACTED] ASSISTED IDENTIFICATION OF SUBJECT**

14. After receiving complaints from [REDACTED] about [REDACTED] to their [REDACTED] that had not been personally incurred, [REDACTED] and OIOS collaborated in the identification of possible subjects. The results revealed that [REDACTED] incurred [REDACTED] for [REDACTED] that originated from the [REDACTED] assigned to the [REDACTED].

**B. ANALYSIS OF [REDACTED]**

15. All relevant information from the respective [REDACTED] was entered into [REDACTED]. This data was then analyzed by sorting and cross-referencing the disputed [REDACTED] with the originating [REDACTED], which were then matched with the [REDACTED] assigned to those [REDACTED]s. The disputed [REDACTED] produced by the [REDACTED] contained [REDACTED] implicating [REDACTED] in the misuse of their [REDACTED]. In addition, the analysis undertaken by OIOS extrapolate further [REDACTED] which were possibly misused by [REDACTED].

16. OIOS calculated that the [REDACTED] defrauded totalled [REDACTED] in [REDACTED] [REDACTED], and [REDACTED] in [REDACTED]. The affected [REDACTED] and a summary of these unauthorized [REDACTED] are depicted in [REDACTED].

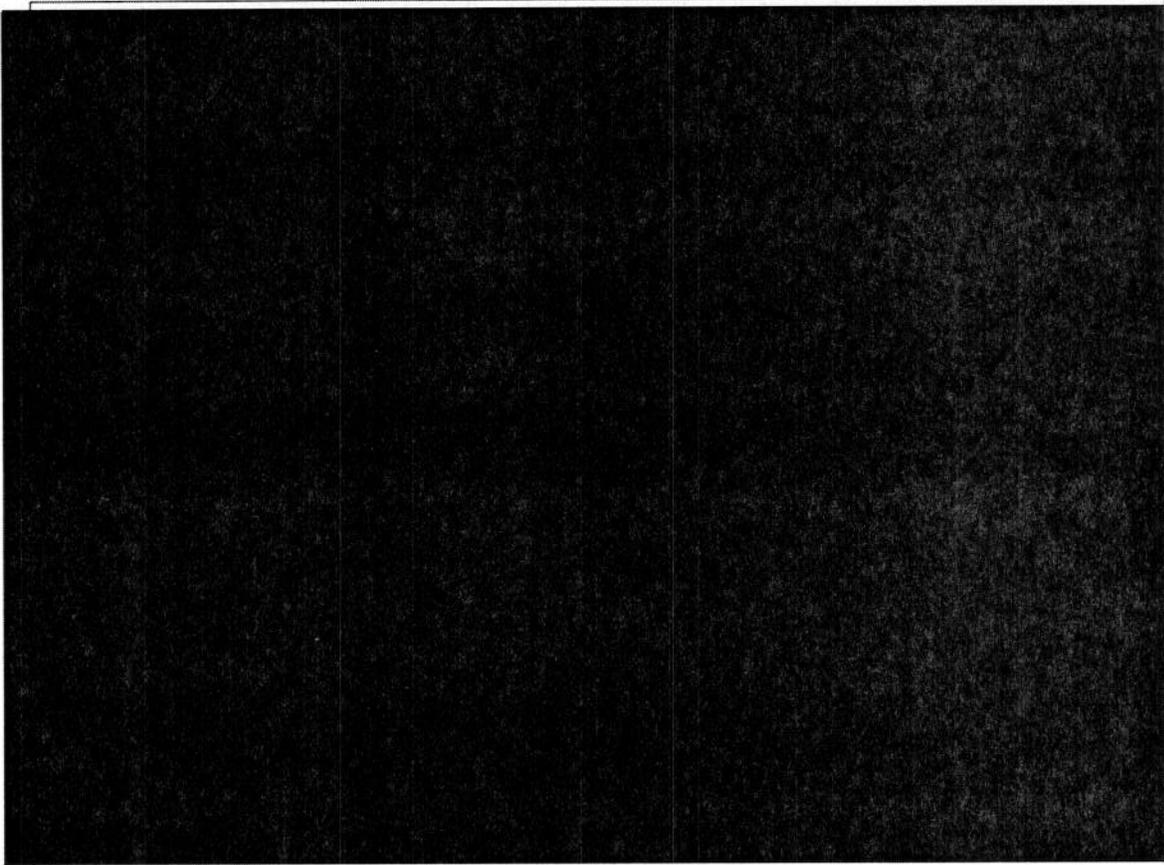
**C. INTERVIEW WITH SUBJECT**

17. On [REDACTED], OIOS interviewed [REDACTED], who stated that [REDACTED] was not allocated a [REDACTED] or a [REDACTED] when [REDACTED] commenced with [REDACTED]. [REDACTED] did state that [REDACTED] was assigned to [REDACTED] and that at the commencement of each [REDACTED] the [REDACTED] for the [REDACTED].

18. When asked about [REDACTED] living outside of [REDACTED] stated that some lived in [REDACTED]. [REDACTED] specifically mentioned a [REDACTED] living in [REDACTED] with the [REDACTED]. OIOS notes that th [REDACTED] appeared in the disputed [REDACTED] of [REDACTED] who were [REDACTED] and was also [REDACTED].

[REDACTED]

recorded in [REDACTED] allowed OIOS to photograph [REDACTED], which is depicted in Figure 1 below:



[REDACTED]

19. When [REDACTED] was asked to explain why this [REDACTED] appeared in the disputed [REDACTED] of [REDACTED] stated that [REDACTED] has never used a [REDACTED] and that it was possible any of [REDACTED] could have [REDACTED]

20. [REDACTED] admitted that [REDACTED] was aware of the [REDACTED], as [REDACTED] explained that [REDACTED] were allocated on [REDACTED] to allow [REDACTED] to [REDACTED]

21. [REDACTED] did identify certain [REDACTED] who called [REDACTED] on [REDACTED], however, [REDACTED] stated that none of them ever offered [REDACTED] the details of [REDACTED]

[REDACTED]

**VII. FINDINGS**

22. OIOS finds that [REDACTED] is responsible for the misuse of [REDACTED] of [REDACTED] totalling [REDACTED] in [REDACTED] and [REDACTED] in [REDACTED]

**VIII. CONCLUSIONS**

23. Based on the evidence collected and the findings above, OIOS concludes that [REDACTED] failed to adhere to the standard of integrity expected of United Nations personnel and that [REDACTED] contravened staff regulation 1.2(b) and relevant administrative issuances.

**IX. RECOMMENDATIONS**

24. Based on the foregoing, OIOS recommends as follows:

Recommendation 1: It is recommended that [REDACTED] take appropriate action against [REDACTED]

Recommendation 2: It is recommended that [REDACTED] finalize estimated losses and consider seeking [REDACTED] recovery from [REDACTED]

