

JUL 11 11 AM @24

11-00486

**UNITED NATIONS**  
INTERIM FORCE IN LEBANON



**NATIONS UNIES**  
FORCE INTERIMAIRE AU LIBAN

#ON/4557

**RECEIVED**  
UNIFIL COMCEN

TELEFAX TRANSMISSION

TRANSMISSION FACSIMILE

Date: 02 July 2011

Reference:

INT. NO:DMS2011-15

<b>TO :</b> Ms. Eleanor Burns, Chief Peackeping Audit Service Internal Audit Division, OIOS	<b>FROM :</b> Girish Sinha Director of Mission Support, UNIFIL
<b>ATTN :</b>	
<b>INFO :</b>	
<b>FAX NO:</b> 1212963388	<b>FAX NO:</b> 7-9260
<b>SUBJECT:</b> Assignment No. AP2011/672/01 - Audit of the management of contingent-owned equipment (COE) in UNIFIL	
Total number of transmitted pages including this page : 85	
<p>1. Your facsimile IAD:11-MO110606 together with the above-mentioned draft audit report has reference.</p> <p>2. Attached please find Mission response in respect of the recommendations contained in the above-mentioned draft report.</p> <p>3. Copies of the supporting documentation will only be provided to the resident audit office and not accompany this facsimile.</p> <p>Kind regards.</p>	
Drafted by: <b>Jacoba Genis</b> UNIFIL	Cleared by: <b>Isabella Misaki</b>

1 of 5

## CONFIDENTIAL - DRAFT AUDIT RESULTS

ANNEX I  
SUMMARY OF RECOMMENDATIONS  
Audit of management of contingent-owned equipment in UNIFIL

Para. no.	Recommendation	Accepted (Yes/No)	Implementation date	Client comments
9	<p>The UNIFIL Office of Mission Support should revise its standard operating procedures and perform inspection of self-sustainment capabilities and standards of its Maritime Task Force in order to comply with the contingent-owned equipment Manual.</p>	Yes	01 October 2011	<p>Starting 25 February 2011, MTF Commander started to call for MTF Commanding Officers' conferences whereby all the MTF vessels are called to dock in the Port of Beirut. The second conference was on 04 May 2011. Since March 2011 UNIFIL MTF HQ started to carry out induction training to all MTF vessels. UNIFIL Mission Support will carry out self-sustainment inspections to all MTF vessels during the induction training and MTF Commanding Officers' conferences.</p> <p>UNIFIL COE Unit is in the process of developing a procedure and standards for the inspection of self-sustainment categories of the vessels undergoing induction training in the vicinity of Naqoura port or docked in Beirut port for MTF Commanding Officers' conferences. It may be noted that the standards in the COE Manual are developed for the land forces and therefore they have to be adopted for the MTF vessels.</p> <p>N.B. It should be noted, however, that the inspection of vessels outside the induction training process and the MTF Commanding Officers' conferences will not be carried out.</p>

2/N-557

Para. no.	Recommendation	Accepted (Yes/No)	Implementation date	Client comments
12	<p>The UNIFIL Office of Mission Support should: (a) request contingent-owned equipment inspection teams to report the actual condition of facilities provided to contingents by the Mission; and (b) follow up with the contingents to conduct the necessary minor repairs. If the concerned contingent does not have the capacity to do the repairs, these should be carried out by the Mission, and the associated cost should be reported to Headquarters for an appropriate deduction from the contingents' minor repairs self-sustainment reimbursement amount.</p>	<p>Yes sub-para a. No sub-para b.</p>	01 July 2011	<p>Conducting inspections only to vessels using Beirut port as their logistic base will differentiate the treatment to the various MCC's.</p> <p>Inspections in the other recognized ports of call - Limasol (Cyprus) and Mersin (Turkey) or vessels summoned for self sustainment inspections have a direct financial implication which is not acceptable to the Mission.</p> <p><b>Reference sub—para a.</b> UNIFIL COE Unit will ensure in its reporting that the conditions of the equipment/ services provided to a contingent by UNIFIL in accordance with the relevant MOU.</p> <p><b>Reference sub—para b.</b> Provision of support outside the MOU obligations is outlined in <i>UNIFIL SOP - Policy for dealing with logistic support additional to the provisions of support covered in the respective MOU between the UN and TCC</i> (Exhibit 1 attached). According to the provisions of this SOP, there is a strict clearance process to be followed by the requesting TCC. All requests received by Mission Support, are duly addressed, assessed and executed, if needed.</p> <p>Recovery charges reports on support provided to contingents in addition to the</p>

3/5-557

Para. no.	Recommendation	Accepted (Yes/No)	Implementation date	Client comments
17	<p>The UNIFIL Contingent-Owned Equipment/Memorandum of Understanding Management Review Board should: (a) regularly review the utilization of contingent-owned equipment and take appropriate and timely action to ensure optimal utilization of resources in support of the Mission; and (b) ensure Memorandum of Understanding with troop contributing countries are up-dated.</p>	<p>Yes sub-para a.</p> <p>No sub-para b.</p>	<p>Implemented</p>	<p>MOU obligations are regularly raised, processed, certified and submitted to the UNHQ for appropriate recovery (Exhibit 2 attached).</p> <p><b>Reference Sub-para a.</b></p> <p>UNIFIL partially accepts the recommendation and will include the utilization of the COE as agenda item in the future CMMRB meetings. The resulting recommendations for increase/decrease of equipment or capability will be communicated to UNHQ. Utilization of Major Equipment is not the only criteria to assess and make determination of the continued presence of equipment. There are no benchmarks for utilization assessment developed and approved by the General Assembly.</p> <p>Equipment is deployed to provide capability, tactical and support advantages to the units and to the Mission in the execution of mandated tasks.</p> <p>The COE Manual, Chapter 10, Responsibilities for COE System of Reimbursement, outlines the responsibilities of the various UNHQ and Field offices. In Para 43 (relevant page attached - Exhibit 3) it clearly states that the DMS, in consultation with the FC/PC, has to review the capabilities</p>

4/5-557



Para no.	Recommendation	Accepted (Yes/No)	Implementation date	Client comments
				<p>of the contingents with no reference of equipment utilization in any part of the COE Manual and this part of the manual should be revised in the future. Capabilities of the units are reviewed at the end of each COE Quarterly Verification Cycle and identified redundancies or shortfalls are addressed to the management and/or CMMRB for review. During such reviews, underutilization of major equipment could also be considered.</p> <p><b>Reference sub-para b.</b></p> <p>The amendment of MOUs is the responsibility of the DFS (MCMS/FBFD) and this recommendation should be addressed to DFS for response.</p>
20	<p>The UNIFIL Contingent-Owned Equipment /Memorandum of Understanding Management Review Board establish a process of tracking its recommendations to ensure they are implemented within established target dates. A status of implementation of recommendations should be a standing agenda item, and regular follow up should be done until the recommendations are implemented.</p>	Yes	Implemented	<p>A tracking matrix of CMMRB and CMMRB WG meetings and recommendations has been developed to ensure implementation of the recommendations. Regular follow-up will be conducted. (Copy of matrix attached - Exhibit 4)</p>

✓/5-557